

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2141

Application No.: 10/714,100

Applicants: Hiroaki Sekizawa

Examiner: Not Yet Known

Filed: November 13, 2003

For: SYSTEM AND METHOD FOR MONITORING THE STATE OF A

PLURALITY OF MACHINES CONNECTED VIA A COMPUTER

**NETWORK** 

Date: January 4, 2005

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A&B).

With the exception of JP 9-188042, cited in the specification, the cited references were brought to Applicant's attention in a Corrected Notification of Reasons for Refusal, dated August 22, 2002, and in a Judgment in "Trial Case for Requesting Cancellation of Decision that Patent should be Cancelled", dated June 23, 2004, both in corresponding Japanese Patent Application.

Copies of the cited references are enclosed. English abstracts and machine English translations of the cited Japanese Laid-Open Patent Applications have been provided where available.

SSL-DOCS1 1529271v1

**Supplemental Information Disclosure Statement** filed January 4, 2005

It is respectfully requested that the cited information be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08A&B be returned indicating that such information has been considered.

To date, Applicants have not received a first Office Action, and so this Supplemental Information Disclosure Statement is believed to be filed under 37 C.F.R. §1.97(b)(3).

No fees are believed to be due in connection with this Supplemental Information Disclosure Statement. Nevertheless, the Commissioner is authorized to charge any fees now due in connection with this paper, as well as any fees hereafter due in connection with any other papers, to deposit account no. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please contact applicant's attorney at the number listed below.

Respectfully submitted,

David L. Schaeffer

Registration No. 32,716

Attorney for Applicants

Stroock & Stroock & Lavan LLP

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New York, New York 10038

(212) 806-6660



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## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

Return Postcard; Supplemental Information Disclosure Statement (2 pgs); PTO/SB08 A&B (5 pgs); 16 references

is being deposited on <u>January 4, 2005</u> with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450.

David L. Schaeffer

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/714,100
Filling Date November 13, 2003
First Named Inventor Hiroaki Sekizawa
Art Unit 2141
Examiner Name Not Yet Known

(Use as many sheets as necessary)
Sheet 1 of 5

Substitute for form 1449A/PTO

Attorney Docket Number 448563/0237

			U. S. PATENT [	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Coda³ Number⁴ 'Kind Code® (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP-57-172497	10-23-1982	Tateishi Elec. Co.	Engl. trans.	X
	1	JP-03-161765	07-11-1991	Sanyo Elec. Co.	Engl. abst. and partial trans.	X
	1	JP-04-256971	09-11-1992	Fuji Xerox Co. Ltd.	Engl. abst. and trans.	X
	<b>†</b>	JP-05-236555	09-10-1993	NEC Corp.	Engl. abst. and trans.	X
	<b>†</b>	JP-06-348632	12-22-1994	Fuji Xerox Co. Ltd.	Engl. abst. and trans.	X

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Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	STATEMENT BY APPLICANT			First Named Inventor	Hiroaki Sekizawa		
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Sheet	2	of	5	Attorney Docket Number	448563/0237		

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		JP-07-288871	10-31-1995	Sanyo Elec. Co.	Engl. abst. and trans.	X
•••••••••••	1	JP-07-334445	12-22-1995	Hitachi Ltd.	Engl. abst. and trans.	X
	1	JP-08-115125	05-07-1996	Canon Inc.	Engl. abst. and trans.	X
••••••	1	JP-08-241019	09-17-1996	Toshiba Corp.	Engl. abst. and trans.	X
***************************************	<b>†</b>	JP-08-286854	11-01-1996	Fuji Xerox Co. Ltd	Engl. abst. and trans.	X

Examiner	Date	
Signature	Considered	

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				Application Number	10/714,100			
	IN	IFORMATION DISC	CLOSURE	Filing Date	November 13, 2003			
	S	TATEMENT BY AP	PLICANT	First Named Inventor	Hiroaki Sekizawa			
				Art Unit	2141			
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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
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		JP-09-134297	05-20-1997	Sumitomo Elec.	Engl. abst. and trans.	X
		JP-09-152815	06-10-1997	Ricoh Co. Ltd.	Engl. abst. and trans.	X
		JP-09-188042	07-22-1997	Canon Inc.	Engl. abst. and trans.	X
		JP-09-251356	09-22-1997	Canon Inc.	Engl. abst. and trans.	X
		JP-09-298543	11-18-1997	Sumitomo Elec.	Engl. abst. and trans.	X

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		JP-11-353145	12-24-1999	Seiko Epson Corp.	Engl. abst. and trans.	X
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Substitute for form 1	449B/PTO			Complete if Known		
				Application Number	10/714,100	
INFORMATION DISCLOSURE				Filing Date	November 13, 2003	
STATEM	ENT BY A	<b>PPL</b>	.ICANT	First Named Inventor Hiroaki Sekizawa		
				Art Unit	2141	
(Use as many sheets as necessary)			ry)	Examiner Name	Not Yet Known	
Sheet	5	of	5	Attorney Docket Number	448563/0237	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		Judgment (Trial Case for Requesting Cancellation of Decision that Patent should be Cancelled), dated June 23, 2004, in corresponding Japanese Patent Application, with English Translation	X		
		Corrected Notification of Reasons for Refusal, dated August 22, 2002, in corresponding Japanese Patent Application, with English Translation	×		
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